

**United States Bankruptcy Court
Southern District of New York**

In re **The 4D Factory, LLC**

Debtor(s)

Case No.
Chapter

11

LIST OF EQUITY SECURITY HOLDERS

Following is the list of the Debtor's equity security holders which is prepared in accordance with rule 1007(a)(3) for filing in this Chapter 11 Case

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
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SEE ATTACHED LIST

DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF CORPORATION OR PARTNERSHIP

I, the **Managing Member** of the corporation named as the debtor in this case, declare under penalty of perjury that I have read the foregoing List of Equity Security Holders and that it is true and correct to the best of my information and belief.

Date **October 10, 2023**

Signature **/s/ Cort Javarone**
Cort Javarone

*Penalty for making a false statement of concealing property: Fine of up to \$500,000 or imprisonment for up to 5 years or both.
18 U.S.C. §§ 152 and 3571.*

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CORPORATE OWNERSHIP STATEMENT (RULE 7007.1)

Pursuant to Federal Rule of Bankruptcy Procedure 7007.1 and to enable the Judges to evaluate possible disqualification or recusal, the undersigned counsel for **The 4D Factory, LLC** in the above captioned action, certifies that the following is a (are) corporation(s), other than the debtor or a governmental unit, that directly or indirectly own(s) 10% or more of any class of the corporation's(s') equity interests, or states that there are no entities to report under FRBP 7007.1:

SEE ATTACHED LIST
Northern Pacific Group

☐ None [*Check if applicable*]

October 10, 2023

Date

/s/ Robert J. Spence

Robert J. Spence

Signature of Attorney or Litigant
Counsel for **The 4D Factory, LLC**
Spence Law Office, P.C.

**55 Lumber Road
Suite 5
Roslyn, NY 11576
516-336-2060 Fax: 516-605-2084
rspence@spencelawpc.com**

NAME *	UNIT TYPE *	UNITS *
Cort Javarone (1)	Common	1,000,000
Jonathan Miller (2)	Common	192,000
Jonathan Miller – Series B (13)	Common (Series B)	8,758
Kevin Conroy (3)	Common	162,000
Ron Bloom (15)	Common	118,395
Tex Caldarola (14)	Common	931
Andrew Grossman (9)	Common	98,643
David Vicini (10)	Common	98,643
Silvio Kutić (16)	Common	28,199
Izabel Jelenić (17)	Common	12,085
Roberto Kutić (18)	Common	7,109
Steven A. Horowitz (4)	Common	89,000
Geneva International Ins., Inc. (5)	Common	22,000
Goal Venture Partners (7)	Common	12,000
Ned Sherman (6)	Common	24,000
Dmitry Petrov (11)	Common	24,000
Jamil Moledina (12)	Common	18,000
Northern Pacific Group (8)	Series A Preferred	453,869

TOTAL UNITS	2,369,632
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*Subject to the terms and conditions of the Operating Agreement for the company, as amended or modified.